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7590

11/01/2006

Fernandez & Associates, LLP
 PO Box D
 Menlo Park, CA 94026-6402

01/17/2007 HDEMESS2 00000050 10776438

01 FC:2501 700.00 OP
 02 FC:1504 300.00 OP

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ANNA MASSIOLI	(Depositor's name)
<i>[Signature]</i>	(Signature)
1/11/7	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/776,438	02/10/2004	Lawrence C. Gunn III	LUX-P021	2909

TITLE OF INVENTION: OPTICAL WAVEGUIDE GRATING COUPLER INCORPORATING REFLECTIVE OPTICAL ELEMENTS AND ANTI-REFLECTION ELEMENTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	02/01/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
BLEVINS, JERRY M	2883	385-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☒ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 FERNANDEZ & ASSOCIATES, LLP

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

LUXTERA, INC

CARLSBAD, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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DENNIS S. FERNANDEZ

Registration No.

34,160

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